

111-1890
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: Jeffrey Wenig

Docket: 719-69

Patent No.: 4,724,231

Issued: February 9, 1988

Serial No.: 06/848,690

Filed: April 8, 1986

For: NASAL COMPOSITIONS
CONTAINING VITAMIN B₁₂

Date: January 3, 1997

Box Patent Ext.
Assistant Commissioner for Patents
Washington, D.C. 20231

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Kim Beaulieu Kim Beaulieu
Name (Print) Signature

LETTER OF TRANSMITTAL OF APPLICATION FOR
EXTENSION OF PATENT TERM

Sir:

Transmitted herewith is an application for extension of the patent term under 35 U.S.C. §156 for U.S. Patent No.: 4,724,231. The application includes Exhibits A-C, a Declaration pursuant to 37 C.F.R. §1.740(b), a Power of Attorney executed by the Applicant, and a duplicate of the application papers, certified as such.

The filing fee of \$1090.00 in accordance with 37 C.F.R. § 1.20(j) is also enclosed herewith. The Commissioner is hereby authorized to charge any additional fees, or credit

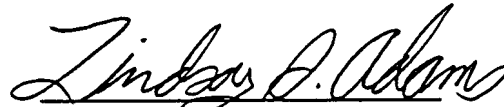
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PATENT EXTENSION
A/C PATENTS

any overpayment, to Deposit Account No.: 08-2461. Two additional copies of this sheet are enclosed.

Respectfully submitted,

A handwritten signature in cursive script, reading "Lindsay S. Adams".

Lindsay S. Adams
Attorney for Applicant
Registration No.: 36,425

Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753
(516) 822-3550

1CC

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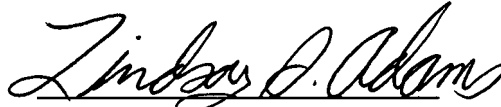
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Kim Beaulieu Kim Beaulieu
Name (Print) Signature

**DECLARATION PURSUANT TO 37 C.F.R. §1.740(b) FOR
APPLICATION FOR PATENT EXTENSION UNDER 35 U.S.C. §156**

Sir:

The undersigned, an Attorney registered to practice before the U.S. Patent and Trademark Office and having the general authority from the owners of U.S. Patent No. 4,724,231 to act on behalf of the owners of said patent (i.e., the Applicant), as indicated in the Power of Attorney being submitted herewith, hereby states:

1. I have reviewed and understand the contents of the application for patent extension of U.S. Patent No. 4,724,231 being submitted herewith pursuant to 35 U.S.C. §156;
2. I believe U.S. Patent No. 4,724,231 is subject to an extension pursuant to 37 C.F.R. §1.710 and believe that the term of extension claimed in the

application filed contemporaneously herewith is justified under 35 U.S.C. §156 and under the applicable regulations; and

3. I believe that U.S. Patent No. 4,724,231 for which an extension is being sought meets all the conditions for an extension of the term of said patent, as set forth in 37 C.F.R. §1.720.

I further state that the above statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any willful false statements may jeopardize the validity of this patent.

Date: 1/3/97

Respectfully submitted,



Lindsay S. Adams
Registration No.: 36,425
Attorney for Applicant

HOFFMANN & BARON
350 Jericho Turnpike
Jericho, New York 11753
(516) 822-3550
LSA/kb

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**PATENT EXTENSION
A/C PATENTS**

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

Sir:

Nastech Pharmaceutical Company Inc., of 45 Davids Drive, Hauppauge, New York 11788, as assignee of record of U.S. Patent No.: 4,724,231, hereby appoints the following attorneys:

Charles R. Hoffmann, Reg. No. 24,102; Ronald J. Baron, Reg. No. 29,281; Gerald T. Bodner, Reg. No. 30,449; Alan M. Sack, Reg. No. 31,874; A. Thomas Kammer, Reg. No. 28,226; Arlene D. Morris, Reg. No. 32,657; R. Glenn Schroeder, Reg. No. 34,720; Glenn T. Henneberger, Reg. No. 36,074; Livia Boyadjian, Reg. No. 34,781; Sean W. O'Dea, Reg. No. 37,690; Lindsay S. Adams, Reg. No. 36,425; Paul J. Otterstedt, Reg. No. 37,411; Irving N. Feit, Reg. No. 28,601; William E. Lewis, Reg. No. 39,274; and Paul D. Ackerman, Reg. No. 39,891, each of them of HOFFMANN & BARON, 350 Jericho Turnpike, Jericho, New York 11753; and Daniel A. Scola, Jr., Reg. No. 29,855; Salvatore J. Abbruzzese, Reg. No. 30,152; Kirk M. Miles, Reg. No. 37,891; Kevin C. Hooper, Reg. No. P-40,402; and Robert F. Chisolm, Reg. No. 39,939, each of them of HOFFMANN & BARON, 1055 Parsippany Boulevard, Parsippany, New Jersey 07054,

to apply for an extension of the term of said patent, to make alterations and amendments therein, and transact all business in the U.S. Patent and Trademark Office connected therewith, and request that all further correspondence be conducted with Hoffmann & Baron as indicated below.

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

**Gerald T. Bodner, Esq.
Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753**

Lindsay S. Adams, Esq.

Nastech Pharmaceutical Company, Inc.
(type or print identity of assignee of entire interest)

45 Davids Drive
Address

Hempstead, New York 11783

☒ Recorded in PTO on April 8, 1986
Reel 4567
Frame 732

☐ Recorded herewith

ASSIGNEE CERTIFICATION UNDER 37 CFR 3.73(b)

I, the undersigned, have reviewed all the documents in the chain of title of the patent matter identified above and, to the best of my knowledge and belief, title is in the assignee identified above.

I, hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the patent.

Date

1/23/97
1/23/97


(Signature)

Vincent D. Romeo R. Ph., Ph.D.
(type or print name of person authorized to
sign on behalf of assignee)

President and CEO
Title

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Kim Beaulieu Kim Beaulieu
Name (Print) Signature

APPLICATION FOR EXTENSION OF PATENT TERM
UNDER 35 U.S.C. §156

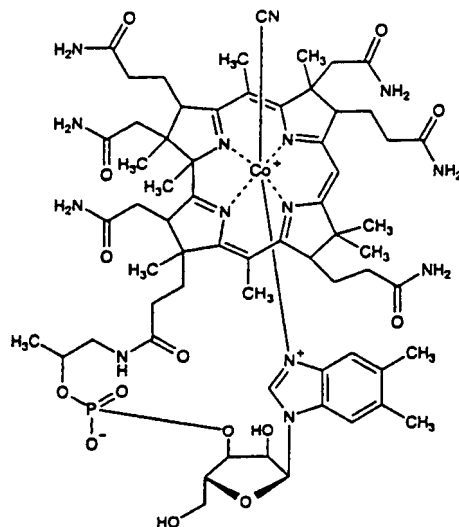
Sir:

Applicant, Nastech Pharmaceutical Company Inc., of 45 Davids Drive, Hauppauge, New York 11788, represents that it is the assignee of the entire interest in and to Letters Patent of the United States No.: 4,724,231, issued to Jeffrey Wenig, by virtue of an assignment to Nastech Pharmaceutical Company Inc., recorded on April 8, 1986 at Reel 4567, starting at Frame 0732.

Applicant hereby submits this application for extension of patent term under 35 U.S.C. §156, by providing the following information as required by 37 C.F.R. §1.740.

(1) The approved product is NASCOBAL™ (Cyanocobalamin, USP), Gel for Intranasal Administration, in which the sole active ingredient is Cyanocobalamin (generic name). The chemical name for the active ingredient is 5,6-dimethyl-benzimidazolyl

cyanocobamide, which has a molecular formula of $C_{63}H_{88}CoN_{14}O_{14}P$, a molecular weight of 1355.38 and the following structure:



(2) The approved product was subject to regulatory review under the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §355), Section 505.

(3) NASCOBAL™ received its first and only permission for commercial marketing or use under Section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §355) on November 5, 1996.

(4) As previously described in paragraph (1), the approved product, NASCOBAL™, which is a human drug, contains Cyanocobalamin as its sole active ingredient. This active ingredient has been previously approved for commercial marketing or use under Section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §355) for use in compositions other than Applicant's Gel for Intranasal Administration.

(5) This application for extension of patent term under 35 U.S.C. §156 is being submitted within the sixty (60) day period permitted for submission, the last day for said submission being January 4, 1997.

(6) The complete identification of the patent for which an extension is being sought is as follows:

Inventor: Jeffrey Wenig

Patent No.: 4,724,231

Issued: February 9, 1988

Expiration: February 9, 2005

(7) A copy of the patent for which an extension is being sought is attached herewith as "Exhibit A."

(8) No Disclaimer, Certificate of Correction or Reexamination Certificate has been filed or issued with respect to U.S. Patent No.: 4,724,231. Two (2) receipts of maintenance fee payments have been issued for U.S. Patent No.: 4,724,231, and are attached herewith as "Exhibit B."

(9) U.S. Patent No.: 4,724,231 claims various compositions that reads on the approved product, NASCOBAL™ (Cyanocobalamin, USP), Gel for Intranasal Administration, and also claims methods of using said compositions for the treatment of patients suffering from Vitamin B₁₂ deficiency.

(a) The approved product is an isotonic aqueous gel solution having a therapeutically effective amount of Vitamin B₁₂ (i.e., 500 mcg of Cyanocobalamin per dosage unit), a citrate/citric acid buffer, 2.23 wt. % of a glycerin humectant, a methylcellulose thickening agent, 0.02 wt.% of a benzalkonium chloride preservative, a pH between 4.5 to 5.5, and a viscosity ranging from 2,500 to 10,000 cps. The approved product has been granted permission for use in the treatment of patients suffering from a deficiency of Vitamin B₁₂ and its associated symptoms.

(b) The following claims cover the approved product or the method of using the approved product. Claims 1-3, 6-7, 9-11, 14-15, and 25-26 of U.S. Patent No.: 4,724,231 all read on (i.e., cover) the approved product. Claims 17-19, 22-23, and 27 of U.S. Patent No.: 4,724,231 all read on (i.e., cover) the use of the approved product for the treatment humans suffering from a Vitamin B₁₂ deficiency.

(10) The relevant dates and information pursuant to 35 U.S.C. §156 to enable the Secretary of Health and Human Services to determine the length of the applicable regulatory review period are listed below.

(a) U.S. Patent No.: 4,724,231 was issued on February 9, 1988.

(b) An application for Investigational New Drug exemption ("IND") for NASCOBAL™ was submitted to the FDA on January 21, 1985, and assigned IND No.: 25,696.

(c) IND No.: 25,696 was deemed to have an effective filing date of February 21, 1985.

(d) A New Drug Application ("NDA") for NASCOBAL™ was deemed submitted to the FDA on September 11, 1987, and assigned NDA No.: 19-722.

(e) NDA No.: 19-722 for NASCOBAL™ was approved on November 5, 1996.

(11) A brief description of the activities undertaken by the Applicant during the applicable regulatory review period with respect to NASCOBAL™ and the significant dates applicable to such activities is attached herewith as "Exhibit C."

(a) Applicant submits that the entire period from January 21, 1985, through November 5, 1996, the data generated on this product was for submission to the FDA in support of the NDA. Moreover, Applicant submits that it acted with due diligence during the entire regulatory review period.

(12) Applicant is of the opinion that U.S. Patent No.: 4,724,231 is eligible for extension under 35 U.S.C. §156 because it satisfies the requirements for such extension as follows.

(a) Pursuant to 35 U.S.C. §156(a), U.S. Patent 4,724,231 claims a product and a method of using a product.

(b) Pursuant to 35 U.S.C. §156(a)(1), the term of U.S. Patent No.: 4,724,231 has not expired before submission of this application for extension.

(c) Pursuant to 35 U.S.C. §156(a)(2), the term of U.S. Patent No.: 4,724,231 has never been extended.

(d) Pursuant to 35 U.S.C. §156(a)(3), the application for extension is submitted by the agent of the owner of record of U.S. Patent No.: 4,724,231, Natestch Pharmaceutical Company Inc., in accordance with the requirements of 35 U.S.C. §156(d) and the guidelines of the U.S. Patent and Trademark Office.

(e) Pursuant to 35 U.S.C. §156(a)(4), the approved product, NASCOBAL™, has been subject to regulatory review period before its commercial marketing or use.

(f) Pursuant to 35 U.S.C. §156(a)(5)(A), the permission for the commercial marketing or use of the approved product, NASCOBAL™, after the regulatory review period is the first permitted commercial marketing or use of the product under the provision of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355), under which such regulatory review period occurred.

(g) Pursuant to 35 U.S.C. §156(c)(4), no other patent has been extended for the same regulatory review period for the approved product, NASCOBAL™.

(h) The length of extension of the patent term of U.S. Patent No.: 4,724,231 claimed by Applicant is five (5) years, the maximum possible under 35 U.S.C. §156(g)(6)(A), since the patent involved was issued after the date of enactment of 35 U.S.C. §156, and the regulatory review period that occurred after the date of patent issuance exceeded five (5) years. The manner in which the term of extension that Applicant is entitled to was calculated as shown below.

(1) Pursuant to 35 U.S.C. §156(g)(1)(B), Applicant's total regulatory review period is the combination of the "testing phase" under 35 U.S.C. §156(g)(1)(B)(i) and the "approval phase" under 35 U.S.C. §156(g)(1)(B)(ii). This time period is approximately 11 years and 7.5 months. Applicant's testing phase was from January 21, 1985 until September 11, 1987, which is approximately 2 years and 7.2 months. Applicant's approval phase was from September 11, 1987, until November 5, 1996, which is approximately 9 years and 0.3 months.

(2) Pursuant to 35 U.S.C. §156(c), Applicants are only entitled to a term extension for the regulatory review period that occurred after the issuance of U.S. Patent No.: 4,724,231, which was from February 10, 1988 until November 5, 1996, approximately 7 years and 9 months. This term is subject to the limitations described below.

(3) The regulatory review period after patent issuance, 7 years and 9 months, is subject to the limitations of 35 U.S.C. §156(c)(3) and 35 U.S.C. §156(g)(6)(A). Therefore, the term of extension pursuant to 35 U.S.C. 156(c), may not exceed fourteen (14) years minus the remaining term of the patent, and may not exceed five (5) years.

(4) The remaining term of the U.S. Patent No.: 4,724,231 is approximately eight (8) years and two (2) months. Thus, pursuant 35 U.S.C. §156(c)(3), the term of patent extension is equal to 14 years minus 8 years and 2 months. This amount of time is approximately 5 years and 10 months.

(5) Because the term of patent extension cannot exceed five (5) years, pursuant to 35 U.S.C. §156(g)(6)(A), Applicant is entitled to a maximum term of patent extension of five (5) years.

(13) Applicant acknowledges a duty to disclose to the Commissioner of Patents and Trademarks and the Secretary of Health and Human Services any information which is material to any determination to be made relative to this application for extension.

(14) A check for the prescribed fee for receiving and acting upon this application for extension is enclosed herewith. If any additional fee is due, please charge our Deposit Account No. 08-2461 for such sum.

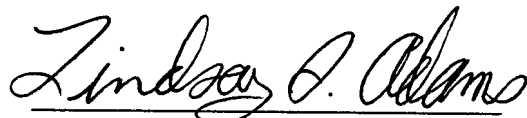
(15) The requisite Declaration, set forth in 37 C.F.R. § 1.740(b) is also attached herewith.

(16) Inquiries and/or other correspondence relating to this application for patent term extension are to be directed to:

Gerald T. Bodner, Esq.
Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753

(17) A certified duplicate copy of the application papers is also being submitted herewith.

Respectfully submitted,



Lindsay S. Adams
Attorney for Applicant
Registration No.: 36,425

Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753
(516) 822-3550



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

HENRY T. BURKE
WYATT, GERBER, SHOUP AND BADIE
645 MADISON AVE., 5TH FLOOR
NEW YORK, NEW YORK 10022

DATE MAILED
09/10/91

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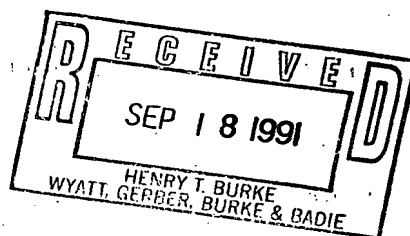
MAINTENANCE FEE STATEMENT

The data shown below is from the records of the Patent and Trademark Office. If the maintenance fees and any necessary surcharges have been timely paid for the patents listed below, the notation "PAID" will appear in column 10, "status" below.

If a maintenance fee payment is defective, the reason is indicated by code in column 10, "status" below. An explanation of the codes appears on the reverse of the Maintenance Fee Statement. **TIMELY CORRECTION IS REQUIRED IN ORDER TO AVOID EXPIRATION OF THE PATENT. NOTE 37 CFR 1.377. THE PAYMENT(S) WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION. IF PAYMENT OR CORRECTION IS SUBMITTED DURING THE GRACE PERIOD, A SURCHARGE IS ALSO REQUIRED. NOTE 37 CFR 1.20(k) and (l).**

If the statement of small entity status is defective the reason is indicated below in column 10 for the related patent number. **THE STATEMENT OF SMALL ENTITY STATUS WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION.**

| ITM NBR | PATENT NUMBER | FEE CODE | FEE AMOUNT | SUR CHARGE | SERIALIZED NUMBER | PATENT DATE | FILE DATE | PAY YR | SML ENT | STA |
|------------|------------------|-------------|---------------|---------------|----------------------|----------------|--------------|-----------|------------|------|
| 1 | 4,724,231 | 273 | 415 | ---- | 06/848,690 | 02/09/88 | 04/08/86 | 04 | YES | PAID |



If the "status" column for a patent number listed above does not indicate "PAID" a code or an asterisk (*) will appear in the "status" column. Where an asterisk (*) appears, the codes are set out below by the related item number. An explanation of the codes indicated in the "status" column and as set out below by the related item number appears on the reverse of the maintenance fee statement.

| ITM NBR | ATTY DKT NUMBER |
|------------|--------------------|
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| 1 | 17864A |
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**DIRECT THE RESPONSE TOGETHER WITH ANY QUESTIONS ABOUT THIS NOTICE TO:
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

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75M7/0922

HENRY T. BURKE
WYATT, GERBER, SHOUP AND BADIE
645 MADISON AVE., 5TH FLOOR
NEW YORK, NEW YORK 10022

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|------------|------------------|-------------|---------------|---------------|------------------|----------------|--------------|----------------------|------|
| 1 | 4,724,231 | 284 | 965 | ---- | 06/848,690 | 02/09/88 | 04/08/86 | 08 YES | PAID |

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NUMBER

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United States Patent [19]
Wenig

[11] **Patent Number:** **4,724,231**
[45] **Date of Patent:** **Feb. 9, 1988**

[54] **NASEL COMPOSITIONS CONTAINING
VITAMIN B₁₂**

[75] **Inventor:** **Jeffrey Wenig, Dix Hills, N.Y.**

[73] **Assignee:** **Nastech Pharmaceutical, Inc.,
Hauppauge, N.Y.**

[21] **Appl. No.:** **848,690**

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Related U.S. Application Data

[63] **Continuation-in-part of Ser. No. 723,844, Apr. 16,
1985, abandoned.**

[51] **Int. Cl.⁴ A61K 31/70**

[52] **U.S. Cl. 514/52**

[58] **Field of Search 514/52; 424/45**

[56] **References Cited**

U.S. PATENT DOCUMENTS

4,174,295 11/1979 Bargigia et al. 424/45
4,525,341 6/1985 Deihl 514/52

OTHER PUBLICATIONS

Chem. Abst. 66: 64246e (1967)—Shinton et al.

Chem. Abst. 77: 105,623y (1972)—Forest Laboratories.

Primary Examiner—Douglas W. Robinson

Attorney, Agent, or Firm—Wyatt, Gerber, Shoup,
Scobey and Badie

[57] **ABSTRACT**

This invention is directed to compositions for nasal administration of a vitamin B₁₂ to a human suffering a vitamin B₁₂ deficiency. It is also directed to such compositions in dosage unit form and with methods of administering such compositions.

27 Claims, 4 Drawing Figures

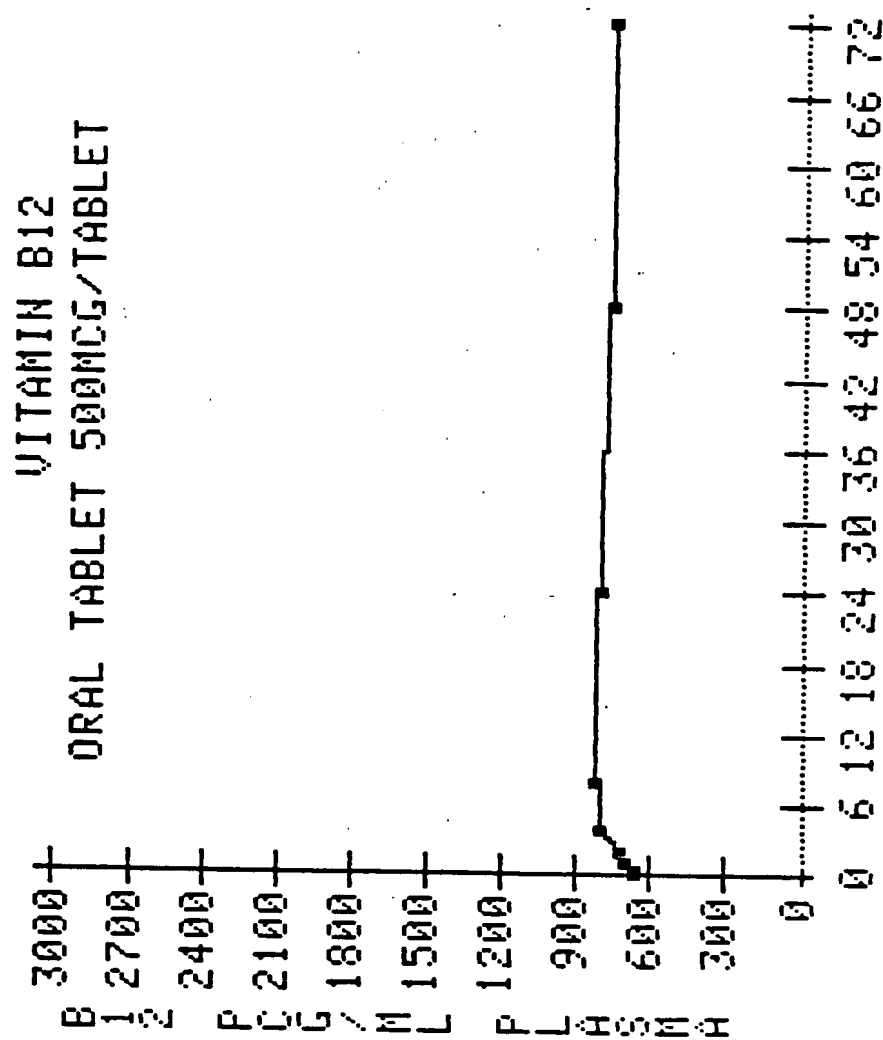


FIG. 1 HOURS POST DOSE

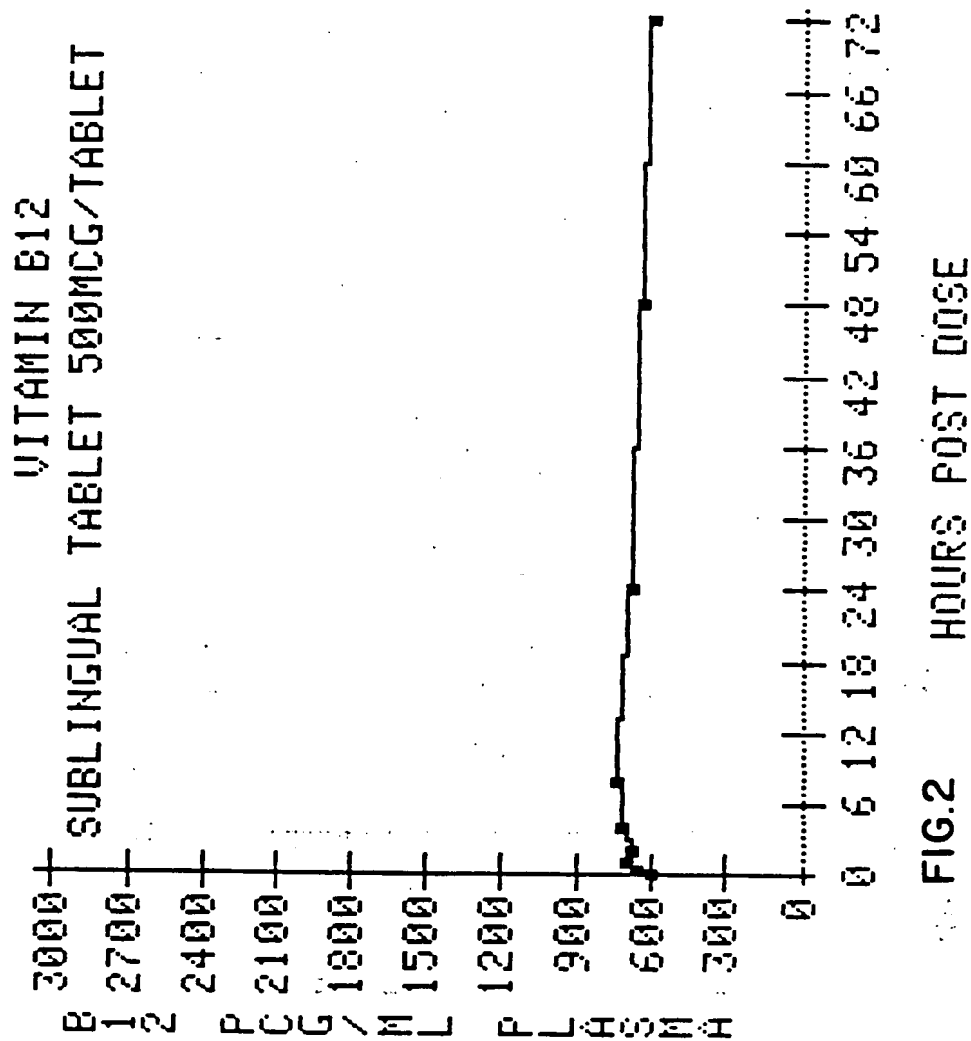
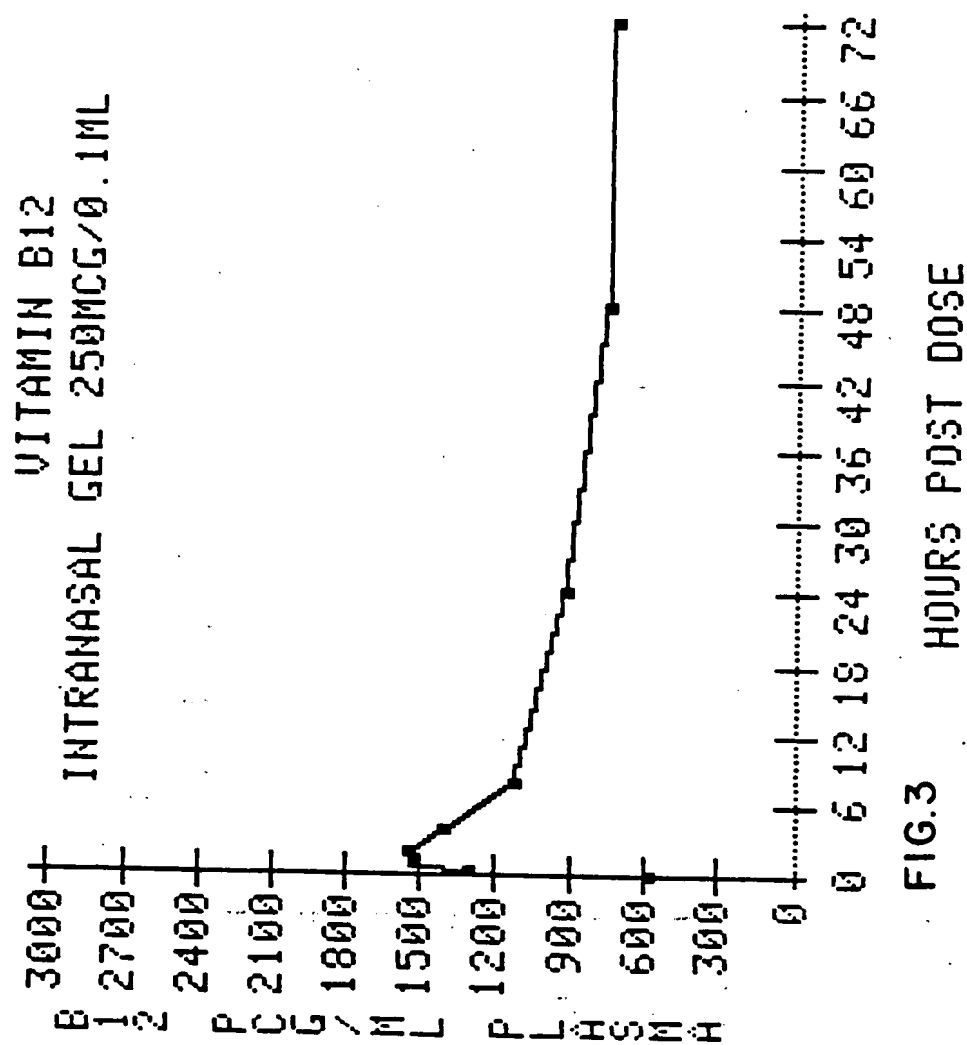
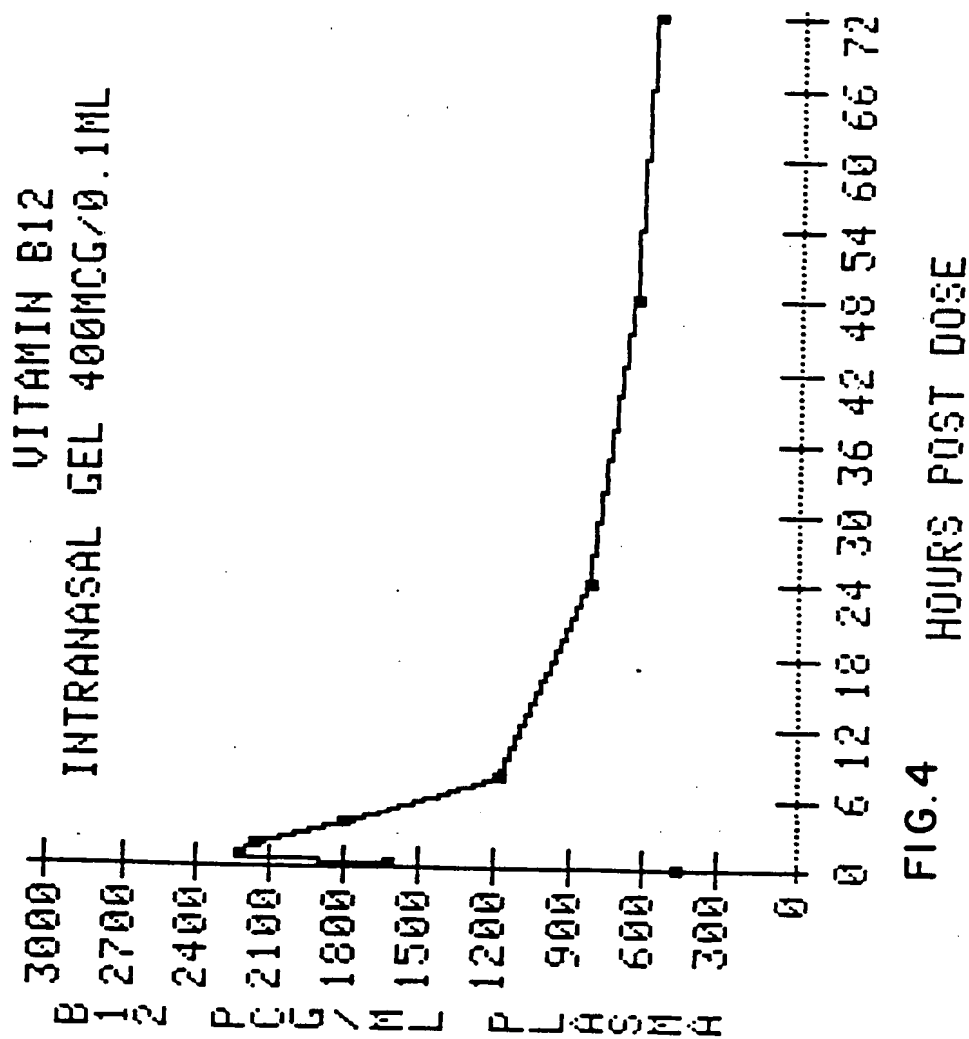


FIG.2





NASEL COMPOSITIONS CONTAINING VITAMIN B₁₂

BACKGROUND OF THE INVENTION

Cyanocobalamin is a vitamin B₁₂, and is one of the B₁₂ class of vitamins which includes vitamin B_{12a} (hydroxocobalamin), vitamin B_{12b} (aquacobalamin), vitamin B_{12c} (nitrolocobalamin), coenzyme B₁₂ (5'-Odeoxyadenosine cobalamin) and methyl B₁₂ (methyl cobalamin). Cyanocobalamin is the principal member of the class, and the most widely employed in medicine. This invention will be described as it relates to cyanocobalamin, but those skilled in the art will recognize that the invention is applicable to the class.

Vitamin B₁₂ is an essential compound for normal growth, hematopoiesis, production of all epithelial cells and maintenance of myelin throughout the nervous system. It was first isolated from liver concentrate by Rickes and his coworkers in 1948 and structural elucidated by Hodgkin and her coworkers in the late 1950's. It is currently commercially available as a tablet and as an injectable.

Therapeutically, vitamin B₁₂ is employed in the treatment of a variety of B₁₂ deficiency afflictions, principally anemias such as pernicious and dipyllobothrium latum. Although the minimum daily requirement of vitamin B₁₂ is approximately 0.1 µg, the generally prescribed initial therapeutic dose is 100 to 1000 µg given intramuscularly. Maintenance therapy with vitamin B₁₂ is usually 100 µg intramuscularly, monthly and must be continued for life.

Since pernicious anemia is often a disease of later years when many sufferers have reduced muscle mass or are atrophic, repeated intramuscular injections of vitamin B₁₂ can be inconvenient, painful and often require doctor's visits. In some cases at least in the early stages, hospitalization is required. As a result, there is a need for a more convenient, less painful and less expensive method of administering vitamin B₁₂, particularly one that would not require hospitalization or repeated physician contacts.

Unfortunately, up to the present time no efficient method of administering B₁₂ which will achieve therapeutically useful blood levels of the vitamin except parenteral administration has been devised.

In 1953 and 1954 Monto et al in *Am. J. Med. Sci.*, 223, 113 (1953) and *Arch. of Int. Med.* 93,219 (1954) described administration of B₁₂ by nasal inhalation and instillation. The vehicles for administration were aqueous isotonic sodium chloride solution and lactose powder. Although the results were reported as effective, safe and economical, the fact is that parenteral administration remains the only method regarded by the medical community as a safe, reliable and effective method for treating vitamin B₁₂ deficiencies in humans. No composition for nasal inhalation or instillation has become commercially available for nasal administration to mammals. Neither have there been any further publications describing nasal inhalation or instillation of which applicant is aware.

The difficulty with nasal instillation by nasal dosage as the procedure is described in the cited articles is that most of the B₁₂ passes immediately into the throat. It is not in contact with the nasal mucosa for a sufficient period of time to permit useful and uniform absorption. Most of the B₁₂ so administered is, in fact wasted.

Compositions have now been discovered for the nasal administration of B₁₂ which can be kept in contact with the nasal mucosa for an extended period of time. During the time the compositions are in such contact, the B₁₂ is uniformly absorbed from the compositions through the nasal mucosa and is then uniformly distributed systemically. The use of the compositions, because of the efficiency with which the B₁₂ is absorbed allows the use of much lesser amounts of B₁₂ than is normally present in parenteral B₁₂ compositions. Moreover, since the patient can self administer the B₁₂, the need for hospitalization or physician contacts is minimized and may even be eliminated.

THE INVENTION

This invention provides vitamin B₁₂ containing compositions specifically formulated for nasal administration which will, unlike aqueous isotonic sodium chloride compositions, remain in contact with the nasal mucosa for a sufficiently long period of time to permit consistent, continuous and uniform absorption of therapeutically effective amounts of a vitamin B₁₂ through the nasal mucous membrane.

The invention, therefore comprises compositions containing a therapeutically effective amount of a vitamin B₁₂, such compositions being sufficiently viscous to maintain themselves in the nasal passages for a period of time which is long enough so that most of the B₁₂ is absorbed. The compositions are stable, easy to handle, and may be self administered by the patient.

More specifically the compositions of the invention are for nasal administration and contain a therapeutically effective amount of a vitamin B₁₂ in an isotonic aqueous buffer at a pH of from about 4 to 6. The compositions may be in the form of gels, lotions, ointments, creams and the like and will contain a sufficient amount of a thickening agent so that the viscosity is from about 2500 to 6500 cps, although more viscous compositions even up to 10,000 cps may be employed. The preferred compositions have a viscosity of 2500 to 5000 cps, since above that range they become more difficult to administer.

Due to the efficiency with which the B₁₂ is adsorbed from the compositions of this invention, a therapeutically effective amount of B₁₂ for nasal administration will normally be appreciably less than for other methods of administration. Typically the concentration of B₁₂ in a composition of the invention will be 0.05% to 1% by weight based on the total weight. In dosage unit forms the dosage will normally be from about 50 to 1000 micrograms.

The pH of the compositions of this invention is from about 4 to 6. At this pH, B₁₂ is stable so that the compositions have a shelf life which may be a year or more. Additionally, at this pH, irritation of the nasal mucosa is minimal. The pH is maintained with a physiologically acceptable buffer composition suitably an acetate, citrate, phosphate, phthalate, borate, or other buffer.

Acetate and citrate buffers are preferred for convenience and economy.

The isotonicity of the composition is accomplished using sodium chloride, or other pharmaceutically acceptable agent such as dextrose, boric acid, sodium tartrate or other inorganic or organic solute. Sodium chloride is preferred particularly for buffers containing sodium ions.

Viscosity of the compositions is maintained at the selected level using a therapeutically acceptable thick-

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ening agent. Methyl cellulose is preferred because it is easily and economically available and is easy to work with. Other suitable thickening agents include, for example, xanthan gum, carboxymethyl cellulose, hydroxypropyl cellulose, carbomer, and the like. The preferred concentration of the thickener will depend upon the agent selected. The important point is to use an amount which will achieve the selected viscosity.

Preferred compositions within the scope of this invention will contain a humectant to inhibit drying of the mucous membrane and to prevent irritation. Any of a variety of humectants can be employed including, for example sorbitol, propylene glycol or glycerol. As with the thickeners, the concentration will vary with the selected agent, although the presence or absence of these agents, or their concentration is not an essential feature of the invention.

An enhanced absorption of B₁₂ across the mucous membrane can be accomplished employing a surfactant. Typically useful surfactants for these therapeutic compositions include polyoxyethylene derivatives of fatty acid partial esters of sorbitol anhydrides such as Tween 80, Polyoxyl 40 Stearate, Polyoxyethylene 50 Stearate and Octoxynol. The usual concentration is from 1% to 10% based on the total weight.

A preservative is generally employed to increase the shelf life of the compositions. Benzyl alcohol is suitable, although a variety of preservatives including, for example, Parabens, thimerosal, chlorobutanol, or benzalkonium chloride may also be employed. A suitable concentration of the preservative will be from 0.02% to 2% based on the total weight, although there may be appreciable variation depending upon the agent selected.

The therapeutically effective compositions of this invention are prepared by mixing the ingredients following generally accepted procedures. For example, the selected components may be simply mixed in a blender, or other standard machine to produce a concentrated mixture which is then adjusted to the final concentration and viscosity by the addition of water.

A typical composition of this invention contains the following components per 100 ml.

Benzyl alcohol, NF: 1.50 ml
Sodium chloride, USP: 0.82 gm
Methyl cellulose, USP (400 cps): 2.00 gm
Acetic acid, NF: 0.10 gm
Sodium acetate (anhyd, USP): 0.27 gm
Sorbitol soln., USP: 5.00 ml
Cyanocobalamine, USP: 0.10 gm
Water, purified: q.s. 100.00 ml

The viscosity of the formulation is about 4500 cps. The pH is about 5.

The following non-limiting examples are given by way of illustration only and are not to be considered limitations of this invention of which many apparent variations are possible without departing from the spirit or scope thereof.

EXAMPLE 1

The following compositions prepared by mixing.

A

Phenylmercuric Acetate NF: 0.002 g
Boric Acid NF: 1.740 g
Methylcellulose (4000 CPS) USP: 2.000 g
Acetic Acid NF: 0.100 g
Sodium Acetate (Anhydrous) USP: 0.270 g
Glycerin USP: 5.000 ml

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Cyanocobalamin USP: 0.100 g
Water, Purified USP: q.s. 100.000 ml

B

5 Benzalkonium Chloride NF: 0.020 g
Potassium Chloride USP: 1.080 g
Hydroxyethyl Cellulose (3500-4000 CPS) NF: 1.000 g
Acetic Acid NF: 0.100 g
Sodium Acetate (Anhydrous) USQ: 0.270 g
10 Propylene Glocol USP: 5.000 ml
Cyanocobalamin USP: 1.000 g
Water, Purified USP: q.s. 100.000 ml

C

15 Thimerosal USP: 0.002 g
Dextrose USP: 5.120 g
Polysorbate 80 USP: 10.000 g
Methylcellulose (4000 CPS) USP: 1.33 g
Acetic Acid NF: 0.100 g
20 Sodium Acetate (Anhydrous) USP: 0.270 g
Glycerin USP: 5.000 ml
Cyanocobalamin USP: 0.500 ml
Water, Purified: q.s. 100.000 ml

D

25 Methylparaben NF: 0.020 g
Propylparaben NF: 0.010 g
Sodium Chloride USP: 0.820 g
Xanthan Gum NF: 2.000 g
30 Acetic Acid NF: 0.100 g
Sodium Acetate (Anhydrous) USP: 0.270 g
Propylene Glycol USP: 5.000 g
Cyanocobalamin USP: 0.200 g
Water, Purified: q.s. 100.000 ml

35 The viscosities of the compositions are within the range defined above.

The typical composition disclosed above just prior to the examples was tested in humans in order to determine quantitative increases in B₁₂ Blood Levels following nasal administration. Three normal volunteers received 0.1 cc of the cited composition (100 µg B₁₂) inserted nasally with a nasal syringe applicator. Serial Blood Samples were drawn from the subjects at 0, 0.05, 0.08, 0.16, 0.25, 0.5, 1.0, 2.0, 3.0, 4.0, 6.0, 8.0, and 24 hours following dosing and assayed for B₁₂ content by radioimmunoassay.

45 It was found that in less than 15 minutes after administration the serum level of B₁₂ was significantly elevated and that significantly elevated blood levels were maintained during the full 24 hours of the study period.

50 The actual plasma blood levels of B₁₂, in the subjects following its nasal administration in the above cited composition, were:

| TIME (hours) | PLASMA LEVELS (Picograms) |
|--------------|---------------------------|
| 0 | 599 |
| 0.05 | 631 |
| 0.08 | 628 |
| 0.16 | 674 |
| 0.25 | 754 |
| 0.5 | 729 |
| 1.0 | 804 |
| 2.0 | 794 |
| 3.0 | 769 |
| 4.0 | 727 |
| 6.0 | 752 |
| 8.0 | 803 |
| 24.0 | 729 |

An additional and similar study was performed with three human subjects using the same composition in which 0.2 cc was administered intranasally (200 μ g B₁₂). The actual plasma blood levels obtained were:

| TIME (hours) | PLASMA LEVELS (Picograms) |
|--------------|---------------------------|
| 0.0 | 591 |
| 0.05 | 630 |
| 0.08 | 637 |
| 0.16 | 680 |
| 0.25 | 699 |
| 0.5 | 742 |
| 1.0 | 809 |
| 2.0 | 849 |
| 3.0 | 786 |
| 4.0 | 764 |
| 6.0 | 722 |
| 8.0 | 742 |
| 24.0 | 675 |

EXAMPLE 2

A composition of this invention containing the following components per 100 ml was prepared.

Benzyl Alcohol NF: 1.50 ml
Sodium Chloride USP: 0.82 g
Methyl Cellulose (400 cps.): 133. g
Acetic Acid NF: 0.10 g
Sodium Acetate (Anhydrous): 0.27 g
Sorbitol Solution USP: 5.00 ml
Cyanocobalamin USP: 0.10 g
Water, Purified USP: q.s. 100.000 ml

This composition was tested in three humans as described in the previous example. The nasal administration of 200 μ g of B₁₂ in 0.2 cc gave the following serum B₁₂ levels:

| TIME (hours) | PLASMA LEVELS (Picograms) |
|--------------|---------------------------|
| 0.0 | 731 |
| 0.05 | 734 |
| 0.08 | 725 |
| 0.16 | 845 |

TABLE 1

A COMPARISON OF THE BIOAVAILABILITY OF VITAMIN B₁₂ FOLLOWING INTRANASAL, ORAL, AND SUBLINGUAL ADMINISTRATION IN NORMAL SUBJECTS

| Number of Subjects | Vitamin B ₁₂ Treatment | Average Baseline pc/ml | Average Maximum Increase in Plasma B ₁₂ Concentration | Average time to Reach Maximum B ₁₂ Plasma Concentration | Average Area Under The Curve (pcg hr/ml) | Average Increase in Plasma B ₁₂ Concentration in 48 hrs. (pcg/ml) |
|--------------------|-----------------------------------|------------------------|--|--|--|--|
| 10 | 500 mcg Oral Tablet | 665.8 | 233.51 pcg/ml | 25.60 Hours | 9.503 | 92.6 |
| 10 | 500 mcg Sublingual Tablet | 599.8 | 196.64 pcg/ml | 5.70 Hours | 6.010 | 51.1 |
| 10 | 250 mcg Intranasal | 577.2 | 1167.31 pcg/ml | 2.5 Hours | 24.266 | 193.5 |
| 10 | 400 mcg | 472.1 | 1967.98 pcg/ml | 1.61 Hours* | 28.690 | 178.9 |

*A 24:00 hour data point was considered an outlier and eliminated from the calculation of the average.

| | |
|------|------|
| 0.25 | 837 |
| 0.5 | 940 |
| 1.0 | 975 |
| 2.0 | 1027 |
| 3.0 | 1038 |
| 4.0 | 1002 |
| 6.0 | 969 |
| 8.0 | 945 |
| 24.0 | 925 |

Again it was found that in approximately 15 minutes after administration the serum level of B₁₂ was significantly elevated and that significantly elevated blood

levels were maintained during the full 24 hours of the study period.

EXAMPLE 3

The following comparative experiment was conducted on forty normal, human, adult volunteers to compare the availability, speed of availability, and duration of availability of B₁₂ administered by various routes. Commercially available oral and sublingual tablets were compared with the compositions of this invention which were administered orally. All samples were tested by high performance liquid chromatography for B₁₂ per dosage unit was as follows:

Methyl Cellulose: 20 gm
Sodium Citrate: 3.2 gm
Citric Acid: 1.2 gm
Benzalkonium chloride 50%: 0.4 ml
Cyanocolalamine: 2.5 gm
Purified Water q.s. to: 100 ml

The composition used to prepare the 400 mcg intranasal dosage unit was identical except that it contained 4.0 gm. of cyanocolalamine.

Serial blood samples were from the subjects at 0, 5, 1, 2, 4, 8, 24, 48 and 72 hours following dosing and assayed for B₁₂ content by radioimmunoassay.

The results are shown in FIGS. 1, 2, 3 and 4 in which concentration in picograms per ml. is plotted against time. The results are also summarized in table 1. In the table, the baseline is the B₁₂ average concentration of B₁₂ in the volunteer group prior to B₁₂ administration.

From an analysis of the figures and the tables, the following unexpected advantages for nasal administration of B₁₂ in the compositions of this invention will be apparent:

1. Increased blood levels at lower dosages.
2. Maximum blood levels achieved more rapidly, and at lower dosage levels.
3. High blood levels maintained for entire period of test as indicated by larger areas under the curve.
4. Substantially higher blood levels at lower dosages even two days after administration.

What is claimed is:

1. A therapeutic composition for nasal administration comprising a therapeutically effective amount of a vitamin B₁₂, a pharmaceutically acceptable isotonic aqueous buffer to provide a pH of from about 4 to 6 and sufficient pharmaceutically acceptable thickening agent so that the viscosity of the composition is from about 2500 to 10,000 cps.
2. A therapeutic composition of claim 1 wherein the vitamin B₁₂ is cyanocobalamin.

3. A composition as in claim 1 or 2 additionally containing from about 1% to 10% by weight of a humectant.
4. A composition as in claim 1 or 2 additionally containing from about 0.2% to 2% by weight of a surfactant.
5. A composition as in claim 1 or 2 additionally containing from about 1% to 10% by weight of a humectant and from about 0.2% to 2% by weight of a surfactant.
6. A therapeutic composition as in claim 1 wherein the thickening agent is methyl cellulose.
7. A therapeutic composition of claim 6 wherein the vitamin B₁₂ is cyanocobalamin.
8. A therapeutic composition of claim 6 or 7 additionally containing from about 1% to 10% by weight of a humectant and from about 0.2% to 2% by weight of a surfactant.
9. A therapeutic composition for nasal administration in dosage unit form comprising from 50 to 1000 micrograms of a vitamin B₁₂, a pharmaceutically acceptable isotonic aqueous buffer to provide a pH of from about 4 to 6 and sufficient pharmaceutically acceptable thickening agent so that the viscosity of the composition is from about 2500 to 10,000 cps.
10. A therapeutic composition as in claim 9 wherein the vitamin B₁₂ is cyanocobalamin.
11. A therapeutic composition as in claim 9 or 10 additionally containing from about 1% to 10% by weight of a humectant.
12. A therapeutic composition as in claim 9 or 10 additionally containing from about 0.2% to 2% by weight of a surfactant.
13. A therapeutic composition as in claim 9 or 10 additionally containing from about 1% to 10% by weight of a surfactant.
14. A therapeutic composition as in claim 9 wherein the thickening agent is methyl cellulose.
15. A therapeutic composition as in claim 14 wherein the vitamin B₁₂ is a cyanocobalamin.

16. A therapeutic composition as in claim 14 or 15 additionally containing from about 1% to 10% by weight of a humectant and from about 0.2% to 2% by weight of a surfactant.
 17. A method of treating a human for vitamin B₁₂ deficiency which comprises nasal administering to a human in need of such treatment a composition comprising a therapeutically effective amount of a vitamin B₁₂, a pharmaceutically acceptable isotonic aqueous buffer to provide a pH of from about 4 to 6 and sufficient pharmaceutically acceptable thickening agent so that the viscosity of the composition is from about 2500 to 10,000 cps.
 18. A method as in claim 17 wherein the vitamin B₁₂ is cyanocobalamin.
 19. A method as in claim 17 or 18 wherein the composition additionally contains from about 1% to 10% by weight of a humectant.
 20. A method as in claim 17 or 18 wherein the composition additionally contains from about 0.2% to 2% by weight of a surfactant.
 21. A method as in claim 17 or 18 wherein the composition additionally contains from about 1% to 10% by weight of a humectant and from about 0.2% to 2% by weight of a surfactant.
 22. A method as in claim 17 wherein the thickening agent is methyl cellulose.
 23. A method as in claim 22 wherein the vitamin B₁₂ is cyanocobalamin.
 24. A method as in claim 22 or 23 wherein the composition additionally contains from about 1% to 10% by weight of a humectant and from about 0.2% to 2% by weight of a surfactant.
 25. A composition as in claim 1 wherein the viscosity is from 2500 to 6500 cps.
 26. A composition as in claim 9 wherein the viscosity is from 2500 to 6500 cps.
 27. A method as in claim 17 wherein the viscosity of the composition is from 2500 to 6500 cps.
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EXHIBIT C

BRIEF DESCRIPTION OF ACTIVITIES UNDERTAKEN BY APPLICANT DURING THE REGULATORY REVIEW PERIOD FOR NASCOBAL

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| January 21, 1985 | Application for Investigational New Drug (IND) filed with the FDA |
| February 7, 1985 | Telephone conversation With Dr. M. K. Bennett of the FDA about the Chemistry, Manufacturing & Compliance (CMC) information |
| February 24, 1985 | Initiated Phase I Study (Protocol 160-01) |
| May 21, 1985 | Reported Phase I results, submitted the validation of analytical method and animal toxicological data to the FDA. Also, submitted protocol for another Phase I Study (Protocol 160-02) to the FDA |
| June 17, 1985 | Received a letter from the FDA allowing to proceed with additional Phase I studies |
| July 8, 1985 | Letter to Dr. S. Sobel of the FDA in response to the FDA letter of June 17, 1985 |
| August 27, 1985 | Letter to Dr. S. Sobel of the FDA about labeling on clinical supplies and CMC issues |
| September 11, 1985 | Letter to Ms. K. Ellsworth of the FDA about scheduling of a meeting to discuss Phase I results |
| October 16, 1985 | Letter to Dr. S. Sobel of the FDA about pre-conference memo for the conference scheduled for October 31, 1985 |
| November 5, 1985 | Letter to Dr. S. Sobel of the FDA providing minutes of the October 31, 1985 meeting |
| November 19, 1985 | Letter to Dr. S. Sobel of the FDA about Phase I results recalculated following the October 31, 1985 meeting |
| January 8, 1986 | Letter to Dr. S. Sobel of the FDA about the compassionate use of the product discussed at the October 31, 1985 meeting |
| February 3, 1986 | Letter to Dr. S. Sobel of the FDA about Phase III Protocols and about clinical investigators |

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| February 12, 1986 | Initiated technical work on the nasal mucosal irritation study |
| March 27, 1986 | Completed the nasal mucosal irritation study |
| April 16, 1986 | Received study report of 15 Day Nasal Mucosal Irritation Study from Findley Research |
| May 1, 1986 | Finalized Phase III Protocols |
| May 14, 1986 | Letter to Dr. S. Sobel of the FDA regarding the results of the nasal toxicological study, the additional clinical site and the investigators |
| May 19, 1986 | Letter to Ms. K. Ellsworth of the FDA about a request for a meeting with the FDA |
| June 17, 1986 | Obtained IRB approval for Phase III Study |
| August 15, 1986 | Obtained Statement of Investigator from Dr. R. Bender of Southern California Permanente Medical Group concerning Phase III Study |
| August 28, 1986 | Letter from Dr. D. Pet of Nutmeg IRB of NMRC (concerning Phase III Study |
| September 15, 1986 | Supplied clinical supplies to southern california Permanente Medical Group for Phase III Study |
| October 10, 1986 | Completed the development of HPLC method for cyanocobalamin nasal gel |
| October 30, 1986 | Letter to Dr. S. Sobel of the FDA about modified Phase III Protocols and about the various clinical sites |
| November 12, 1986 | Meeting between Dr. M. Bennett of the FDA and Mr. I. Nudelman of Nastech, at the FDA concerning the CMC Section of the NDA |
| November 14, 1986 | Received specifications of 1 mL nasal applicator as part of container closure system |
| November 21, 1986 | Letter from Mr. R. T. LeNoir of safety and Regulatory Affairs Specialist concerning grant of permission to refer to confidential information supplied to the FDA by Himont, Inc. |

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| December 19, 1986 | Letter from Dr. S. Sobel of the FDA concerning patient informed consent |
| December 29, 1986 | Letter to Southern California Permanente Medical Group concerning patient informed consent form revisions |
| January 12, 1987 | Letter and submission to Dr. S. Sobel of the FDA on the CMC information |
| February 6, 1987 | Obtained IRB approval for protocol modifications for Phase III Study at Southern California Permanente Medical Group |
| February 26, 1987 | Letter to Dr. S. Sobel of the FDA concerning revisions of the patient informed consent form for Phase III Study |
| March 9, 1987 | Provided clinical supplies to Veterans Medical Center for Phase III Study |
| March 12, 1987 | Sent copy of the modified Phase III Protocol to Veterans Medical Center |
| April 30, 1987 | Obtained IRB approval from Mount Sinai Medical Center for Phase III Study |
| May 21, 1987 | Submitted CMC Section for NDA to the FDA |
| May 29, 1987 | Letter from Mr. R. Eastep of the FDA concerning the receipt of the CMC Section |
| June 2, 1987 | Submitted additional Phase III Protocol D |
| June 19, 1987 | Letter from Dr. S. Sobel of the FDA concerning approving Phase III Protocol |
| July 16, 1987 | Provided additional clinical supplies to Veterans Medical Center for Phase III Study |
| August 21, 1987 | Provided additional clinical supplies to Veterans Medical Center for Phase III Study |
| September 11, 1987 | Submitted the remainder (clinical section) of NDA No. 19-722 to complete NDA for submission purposes |

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| September 30, 1987 | Telephone conversation between Dr. M. Bennett of the FDA and Mr. I. Nudelman of Nastech concerning the CMC Section of the NDA No. 19-722 |
| October 23, 1987 | Submitted additional CMC information to the FDA |
| November 10, 1987 | Letter from the FDA informing the unacceptability of the NDA No. 19-722 for filing |
| November 18, 1987 | Telephone conversation with the FDA concerning the letter of November 10, 1987 |
| November 24, 1987 | Letter to Dr. S. Sobel of the FDA requesting for a meeting regarding the NDA No. 19-722 |
| December 15, 1987 | Provided clinical supplies to Veterans Medical Center for Phase III Study |
| January 5, 1988 | Letter to Dr. S. Sobel of the FDA confirming a meeting between the FDA and Nastech on January 21, 1988 |
| January 21, 1988 | Letter to Dr. S. Sobel of the FDA concerning diagnosis of pernicious anemia in two patients |
| January 21, 1987 | Met with the FDA concerning the NDA No. 19-722 |
| February 10, 1988 | Letter to Dr. S. Sobel of the FDA containing minutes of the January 21, 1988 meeting |
| March 10, 1988 | Updated the revised specifications of vitamin B12 |
| April 15, 1988 | Letter to Mr. T. Hope of the FDA enclosing samples of cyanocobalamin nasal gel for analysis and Amendment 1 to the NDA |
| May 2, 1988 | Submitted additional samples of cyanocobalamin nasal gel to the FDA |
| June 30, 1988 | Letter to Dr. S. Sobel of the FDA enclosing Amendment 2 to the NDA No. 19-722 |
| July 28, 1988 | Provided clinical supplies to Veterans Medical Center for Phase III Study |

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| August 30, 1988 | Letter from the FDA informing that resubmitted NDA No. 19-722 of June 30, 1988 is not acceptable for filing |
| September 27, 1988 | Letter to Dr. S. Sobel of the FDA requesting for a meeting concerning the rejection letter of August 30, 1988 |
| October 27, 1988 | Provided clinical supplies to Veterans Medical Center for Phase III Study |
| November 18, 1988 | Letter to Ms. K. Ellsworth of the FDA confirming a meeting with the FDA on December 1, 1988 |
| December 1, 1988 | Met with the FDA about the NDA rejection letter of August 30, 1988 |
| December 8, 1988 | Submitted Amendment 3 to the NDA No. 19-722 containing additional clinical results, to Dr. S. Sobel of the FDA |
| December 14, 1988 | Letter from Ms. K. Worth of the FDA to Nastech acknowledging the receipt of Amendment 3 to the NDA No. 19-722 |
| January 9, 1989 | Letter to Dr. J. Hunt of the FDA concerning meeting of January 18, 1989 to discuss issues raised at the December 1, 1988 Meeting |
| January 17, 1989 | Telephone conference with Dr. J. Hunt to discuss the upcoming meeting of January 18, 1989 |
| January 18, 1989 | Cancellation of the meeting with the FDA scheduled for January 18, 1989 since Dr. Hunt of FDA informed that adequate clinical study results in support of the acceptance for filing NDA No. 19-722 had been previously submitted |
| January 24, 1989 | Telephone conference with Dr. J. Hunt of the FDA concerning clinical trials contained in the NDA No. 19-722 |
| February 14, 1989 | Letter from Ms. K. Ellsworth of the FDA confirming the filing date of February 12, 1989 for the NDA No. 19-722 |
| February 17, 1989 | Submitted additional copy of Amendment 3 to the NDA No. 19-722, to Dr. J. Hunt of the FDA pursuant to his request made on February 17, 1989 |

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| June 12, 1989 | Received a telephone call from Dr. A. Gordon and Dr. J. Hunt of the FDA requesting clarification of some pharmacokinetics information submitted in Amendment 3 to the NDA No. 19-722 |
| June 13, 1989 | Letter from Dr. N. Colman, Nastech's principal investigator, to Dr. J. Wenig of Nastech concerning vitamin B12 assay method |
| June 29, 1989 | Submitted Amendment 4 to the NDA No. 19-722, to Dr. S. Sobel of the FDA. This amendment contains clarification of some pharmacokinetics information contained in Amendment 3, as requested by Dr. J. Hunt on June 12, 1989 |
| July 6, 1989 | Letter from Dr. S. Sobel of the FDA acknowledging the receipt of Amendment 4 to the NDA No. 19-722. He also informed Nastech that Amendment 4 was a major amendment and therefore will take 60 days to review it |
| July 6, 1989 | Telephone conference with Dr. M. Bennett of the FDA concerning packaging and labeling DMFs |
| July 7, 1989 | Submitted Amendment 5 to the NDA No. 19-722, in response to Dr. M. Bennett's request of July 6, 1989 |
| July 14, 1989 | Received a request from Dr. S. Sobel of the FDA to submit an annual report on additional studies conducted toward NDA No. 19-722 |
| July 28, 1989 | Letter from Dr. S. Sobel of the FDA informing Nastech of the deficiencies in the NDA No. 19-722 |
| August 16, 1989 | Submitted Amendment 6 to the NDA No. 19-722, in response to Dr. S. Sobel's letter of July 28, 1989 |
| September 15, 1989 | Telephone conference with Dr. E. Herman of the FDA concerning clinical studies contained in Amendment 2 to the NDA No. 19-722. Additional information was requested by Dr. Herman |
| September 21 1989 | Submitted Annual Report on additional studies conducted toward NDA No. 19-722 at the request of Dr. Sobel made on July 14, 1989 |
| October 5, 1989 | Submitted of Addendum to Amendment 2 to the NDA No. 19-722, to Dr. E. Herman and Dr. S. Sobel both of the FDA. This addendum contained the information requested by Dr. Herman on September 15, 1989 |

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| October 23, 1989 | Telephone conference with Dr. M. Bennett of the FDA requesting additional stability results of vitamin B12 nasal gel |
| October 25, 1989 | Submitted stability information requested by Dr. M. Bennett of the FDA on October 23, 1989 |
| November 1989 | Nastech decided to stop using contract manufacturer for producing vitamin B12 gel and began to establish manufacturing process in-house |
| December 1989 | Began manufacturing, filling, labelling and packaging of vitamin B12 nasal gel in-house |
| October 30, 1989 | Dr. M. Bennett of the FDA informed Nastech of deficiencies in the CMC Section of NDA No. 19-722 |
| January 12, 1990 | Letter to Dr. S. Sobel of the FDA requesting a meeting to discuss the status of review of clinical information contained in NDA No. 19-722 |
| January 24, 1990 | Telephone conference with Dr. G. Trendel and others of the FDA concerning the meeting request made on January 12, 1990. A lengthy discussion on the clinical portion of NDA No. 19-722 took place |
| January 30, 1990 | Letter from Dr S. Sobel of the FDA informing Nastech of a 30 day extension in the review process |
| February 13, 1990 | Letter to Dr. S. Sobel expressing Nastech's concerns on the length of the review time of clinical information in NDA No. 19-722 and also acknowledging receipt of the FDA letter of January 30, 1990 |
| February 26, 1990 | Submitted Amendment 7 to the NDA No. 19-722, to Dr. S. Sobel of the FDA. This amendment contained a new CMC Section |
| February 26, 1990 | Submitted Amendment 8 to the NDA 19-722, to Dr. S. Sobel of the FDA. This amendment contained an updated clinical information |
| March 29, 1990 | Submitted Addendum to Amendment 7 to NDA No. 19-722, to Dr. S. Sobel of the FDA. This addendum contained results of on-going stability tests, as mentioned in Amendment 7 |
| March 30, 1990 | Received a telephone call from Dr. R. Young of the FDA informing Nastech that NDA No. 19-722 was randomly selected for a clinical audit |

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| April 4, 1990 | Submitted a list of all clinical sites, investigators and protocols to the FDA in response to FDA's Dr. Young's phone call of March 30, 1990 |
| April 5, 1990 | Dr. R. Young of the FDA requested for additional information on the clinical studies contained in NDA No. 19-722. This information was in relation to the clinical audit |
| April 16, 1990 | Submitted information to the FDA requested by Dr. R. Young of the FDA on April 5, 1990 |
| April 26, 1990 | Met with the FDA concerning clinical issues of Amendment 8 to NDA No. 19-722 |
| April 23-27, 30, 1990 | Pre-approval inspection by the FDA |
| April 26, 1990 | Memo from Dr. S. Sobel of the FDA concerning the assay validation data of cyanocobalamin, dosing patients, and package insert. The memo was given to Nastech at the April 26, 1990 meeting at the FDA |
| May 8, 1990 | Received a "483" from the FDA in response to the pre-approval inspection |
| June 5, 1990 | Submitted Amendment 9 to NDA No. 19-722, to Dr. S. Sobel of the FDA, in response to the meeting with the FDA held on April 26, 1990 |
| June 18, 1990 | Letter to Dr. S. Sobel of the FDA in response to additional biopharmaceutical issues raised in the memo given by the FDA to Nastech at the conclusion of April 26, 1990 meeting |
| June 28, 1990 | Submitted information to the FDA on the safety aspects of vitamin B12 nasal gel |
| July 19, 1990 | Telephone conference with Dr. M. Bennett of the FDA concerning updated stability data of vitamin B12 nasal gel |
| July 24, 1990 | Submitted Addendum 2 to Amendment 7 to NDA No. 19-722 in response to FDA's Dr. M. Bennett's telephone request of July 19, 1990 |
| October 17, 1990 | Submitted responses to the 483 items received on May 8, 1990 from the FDA as a result of pre-approval inspection |

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| November 16, 1990 | Letter from Dr. S. Sobel of the FDA informing Natestch that Vitamin B12 nasal gel was not recommended for approval |
| November 26, 1990 | Letter to Dr. S. Sobel of the FDA informing him of Natestch's intention to file an Amendment to NDA No. 19-722 in response to FDA's non-approvable letter of November 16, 1990. It also requested for a meeting. |
| December 1990 | Gathered information for response to the FDA's non-approvable letter of November 16, 1990 |
| January 11, 1991 | Letter to Dr. S. Sobel of the FDA concerning the agenda of the upcoming meeting with the FDA on January 15, 1991 |
| January 15, 1991 | Met with the FDA to discuss deficiencies in NDA No. 19-722 mentioned in FDA's non-approvable letter of November 16, 1990 |
| January 31, 1991 | Letter to Dr. J. Hunt of the FDA providing information on one patient requested at the January 15, 1991 meeting with the FDA |
| February 1, 1991 | Letter from Dr. D. Gordon of the FDA acknowledging receipt of Natestch's January 31, 1991 letter and requesting that clinical data be presented in another format |
| February 19, 1991 | Submitted a draft protocol to Dr. D. Gordon of the FDA |
| March 11, 1991 | Submitted Amendment 10 to NDA No. 19-722. It contained the new format of data presentation as requested by Dr. J. Hunt of the FDA |
| May 9, 1991 | Letter from Dr. S. Sobel of the FDA acknowledging receipt of the protocol and asking for revision of the protocol submitted by Natestch on February 19, 1991 |
| May 14, 1991 | Called Ms. S. Olmsteadt of the FDA inquiring whether or not the FDA received Amendment 10 to NDA No. 19-722 submitted by Natestch on March 11, 1991 |
| May 22, 1991 | Submitted revision in the protocol as requested by Dr. S. Sobel in his letter of May 9, 1991 |
| July 5, 1991 | Letter from Dr. S. Sobel of the FDA providing his comments on the revision of the protocol submitted by Natestch on May 22, 1991 |

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| August 5, 1991 | Preparation of the Informed Consent Form for the Proposed Phase III Study |
| August 29, 1991 | Revisions in the Informed Consent Form |
| September 10, 1991 | Preparation of Form 1572 for the Proposed Phase III Study |
| October 9, 1991 | Recruitment of patients for the Proposed Phase III Study |
| October 11, 1991 | Signed a contract with NMRC Site for the Proposed Phase III Study |
| October 29, 1991 | Preparation of Randomization Scheme for the Proposed Phase III Study |
| November 5, 1991 | Supplied NMRC Site with clinical preparations for use in Phase III Study |
| November 18, 1991 | Letter to NMRC Site regarding Form 1572 and protocol changes |
| December 20, 1991 | Letter to Dr. S. Sobel of the FDA informing him of the status of the on-going Phase III Study |
| January 17, 1992 | Nastech visited NMRC Site to monitor the on-going Phase III Study |
| January 23, 1991 | Supplied NMRC Site with clinical preparations for use in Phase III Study |
| January 31, 1992 | Nastech visited NMRC Site to monitor the on-going Phase III Study |
| February 7, 1991 | Letter from Dr. S. Sobel of the FDA agreeing with Nastech's letter of December 20, 1991 |
| March 20, 1992 | Received status report from NMRC Site for the on-going Phase III Study |
| April 14, 1992 | Letter to Dr. S. Sobel of the FDA providing additional information on the on-going Phase III Study |
| April 14, 1992 | Supplied NMRC Site with clinical preparations for use in Phase III Study |
| May 20, 1992 | Nastech visited NMRC Site to monitor the on-going Phase III Study |

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| May 29, 1992 | Letter to Dr. S. Sobel of the FDA providing him with a revised Informed Consent Form |
| June 5, 1992 | Nastech recruited additional patients for the on-going Phase III Study |
| July 31, 1992 | Letter to Dr. S. Sobel of the FDA informing him of the additional clinical sites chosen |
| August 11, 1992 | Received status report from NMRC Site for the on-going Phase III Study |
| September 11, 1992 | Received status report from NMRC Site for the on-going Phase II Study |
| September 25, 1992 | Received status report from NMRC Site for the on-going Phase III Study |
| October 15, 1992 | Received status report from NMRC Site for the on-going Phase III Study |
| October 21, 1992 | Received status report from NMRC Site for the on-going Phase III Study |
| November 30, 1992 | Supplied NMRC with clinical preparations for the on-going Phase III Study |
| December 16, 1992 | Nastech visited NMRC Site to monitor on-going Phase III Study |
| January 20, 1993 | Met with NMRC personnel at the site to discuss Phase III Study results |
| February 8, 1993 | Submitted pre-amendment report (for Amendment 11 in preparation) to Dr. S. Sobel of the FDA |
| February 24, 1993 | Submitted Addendum 3 to Amendment 7 to NDA No. 19-722, to Dr. D. Wu of the FDA, which contained new CMC information |
| March 8, 1993 | Letter to NMRC Site concerning changes in Case Report Forms |
| April 14, 1993 | Letter to Mr. R. Hedin of the FDA regarding the submission made by Nastech on February 8, 1993 |

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| April 16, 1993 | Telephone call from Mr. R. Hedin of the FDA regarding Nastech's letter of April 14, 1993 regarding the length of review of information submitted by Nastech on February 8, 1993 |
| April 23, 1993 | Letter from NMRC Site concerning changes in Case Report Forms |
| April 29, 1993 | Called Mr. R. Hedin of the FDA and requested to facilitate the review process of the information submitted by Nastech on February 8, 1993 |
| April 29, 1993 | Called Dr. D. Gordon and E. Herman both of the FDA and discussed the information submitted by Nastech on February 8, 1993 |
| May 10, 1993 | Submitted a copy of the February 8, 1993 submission to Dr. D. Gordon of the FDA |
| May 11, 1993 | Called Dr. D. Gordon of the FDA to confirm the receipt of information submitted by Nastech to him on May 10, 1993 |
| May 19, 1993 | Called Dr. D. Gordon of the FDA regarding Nastech's May 10, 1993 submission to him |
| May 20, 1993 | Letter from Dr. G. Trendel of the FDA informing Nastech that 26 patient study was adequate |
| May 27, 1993 | Letter from Dr. F. O. Kelsey of the FDA to Dr. N. Colman regarding FDA's site visit |
| June 1, 1993 | Letter to Dr. G. Trendel of the FDA thanking her for her letter of May 20, 1993 |
| June 3, 1993 | Nastech visited NMRC Site to discuss clinical data |
| July 7, 1993 | Letter from Dr. S. Sobel of the FDA informing that Nastech could re-submit the NDA with clinical data from 26 patients as opposed to 30 patients originally agreed upon |
| July 27, 1993 | Received final report from NMRC Site for the Phase III Study |
| August 24, 1993 | Submitted Amendment 11 to NDA No. 19-722 to Dr. S. Sobel of the FDA. This amendment contained clinical information |
| September 23, 1993 | Submitted supplemental information (to Amendment 11) to Dr. G. Gordon in response to his request |

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| November 3, 1993 | Telephone conversation with Dr. D. . Gordon of the FDA regarding labelling of vitamin B12 nasal gel product |
| November 3, 1993 | Submitted labelling information to Dr. D. Gordon of the FDA |
| November 12, 1993 | Submitted additional pharmacokinetics information to Dr. D. Gordon of the FDA in support of Amendment 11 to NDA No. 19-722 |
| November 22, 1993 | Call from Dr. D. Wu of the FDA regarding the CMC Section of NDA No. 19-722 |
| November 24, 1993 | Submitted revised CMC information to Dr. D. Wu in response to his call of November 22, 1993 |
| December 7, 1993 | Call from Dr. D. Wu of the FDA regarding the container closure system |
| January 3, 1994 | Call from Dr. D. Gordon of the FDA regarding container closure system |
| January 21, 1994 | Call from Dr. D. Wu of the FDA regarding container closure system and requested additional stability data |
| January 25, 1994 | Called Dr. D. Wu of the FDA to discuss labelling issues |
| January 26, 1994 | Submitted additional stability information to Dr. D. Wu of the FDA |
| Jan. 6-27, 1994 | Pre-approval inspection by the FDA |
| January 27, 1994 | Received "483" from the FDA |
| February 1, 1994 | Call from Dr. D. Wu of the FDA regarding container closure system |
| February 7, 1994 | Submitted response to "483" to Mr. E. T. Warner of the FDA |
| February 9, 1994 | Call from Dr. Thomas of the FDA regarding container closure system |
| February 9, 1994 | Submitted results of nasal applicator tube evaluation to Dr. D. Wu of the FDA |
| February 14, 1994 | Call from Dr. D. Wu regarding data submitted by Natestech on February 9, 1994 |

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| February 15, 1994 | Call from Dr. M. Thomas of the FDA regarding data submitted by Nastech on February 9, 1994, and also requesting re-plot certain data from Amendment 11 to NDA No. 19-722 |
| February 22, 1994 | Called Dr. M. Thomas of the FDA informing him that Nastech would send him the information requested by him on February 15, 1994. Also, he requested for another study evaluating nasal applicator tube |
| February 22, 1994 | Submitted re-plotted graphs from Amendment 11 to Dr. M. Thomas of the FDA, and informed him of Nastech's plans to conduct another study to evaluate the nasal applicator tube in volunteers |
| February 23, 1994 | Received fax message from Dr. M. Thomas requesting additional re-plotting of data from Amendment 11 to NDA No. 19-722 |
| February 23, 1994 | Call from Dr. M. Thomas of the FDA asking Nastech to submit a protocol of the applicator evaluation study prior to conducting it. |
| February 23, 1994 | Submitted re-plotted data to Dr. M. Thomas of the FDA |
| February 23, 1994 | Call from Dr. M. Thomas of the FDA asking that error bars be added to the graphs submitted by Nastech on February 23, 1994 |
| February 24, 1994 | Submitted the applicator evaluation protocol and new graphs with error bars added to them |
| March 1, 1994 | Call from Dr. M. Thomas of the FDA asking for revisions in the applicator evaluation protocol submitted by Nastech on February 24, 1994 |
| March 4, 1994 | Called Dr. M. Thomas of the FDA and discussed revisions in the applicator evaluation protocol suggested by him on March 1, 1994. |
| March 8, 1994 | Called Dr. M. Thomas of the FDA inquiring about FDA's response to revisions in the applicator evaluation protocol |
| March 9, 1994 | Called Dr. M. Thomas of the FDA informing him that Nastech would start the applicator evaluation study in volunteers on March 11, 1994. |
| March 10, 1994 | Submitted final protocol for the applicator evaluation to Dr. M. Thomas of the FDA |

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| April 8, 1994 | Submitted Amendment 12 to NDA No. 19-722, to Dr. S. Sobel of the FDA, containing results of the applicator tube evaluation in volunteers |
| April 21, 1994 | Call from Mr. R. Hedin of the FDA requesting for two additional copies of Amendment 12 to NDA No. 19-722 |
| April 21, 1994 | Submitted two additional copies of Amendment 12 to Mr. R. Hedin of the FDA in response to his request of April 21, 1994 |
| April 25, 1994 | Call from Dr. M. Thomas and discussed Amendment 12 to NDA No. 19-722 and clinical data from Amendment 11 |
| April 27, 1994 | Submitted to Dr. M. Thomas of the FDA information previously submitted to the FDA on February 9, 22, 23, 24, 1994 and on March 10, 1994 |
| April 27, 1994 | Submitted additional information to Dr. M. Thomas in response to his call of April 25, 1994 |
| May 18, 1994 | Submitted updated stability data to Dr. D. Wu of the FDA |
| May 23, 1994 | Called Dr. M. Thomas of the FDA inquiring status of review of NDA No. 19-722 |
| June 13, 1994 | Called Mr. R. Hedin of the FDA regarding the review status of NDA No. 19-722 and was informed that the review was in final stages of completion |
| July 5, 1994 | Called Dr. M. Thomas and Mr. R. Hedin both of the FDA regarding the status of review of NDA No. 19-722 |
| July 11, 1994 | Called Dr. M. Thomas of the FDA regarding the review status of NDA No. 19-722 |
| July 19, 1994 | Called Mr. R. Hedin of the FDA regarding the review status of NDA No. 19-722 |
| July 21, 1994 | Received a letter of non-approval (i.e., rejection) of NDA No. 19-722 from Dr. S. Sobel of the FDA |
| July 26, 1994 | Called Dr. M. Thomas and Mr. R. Hedin regarding the non-approval letter of July 21, 1994 |

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| July 27, 1994 | Called Mr. J. Hunt of the FDA regarding the non-approval letter of July 21, 1994 |
| July 28, 1994 | Letter to Dr. S. Sobel of the FDA acknowledging the receipt of non-approval letter of July 21, 1994 and confirming Nasteck's plans to re-file the NDA |
| July 29, 1994 | Called Dr. S. Sobel of the FDA requesting a meeting |
| August 1, 1994 | Letter from Dr. S. Sobel of the FDA confirming Nasteck's telephone call of July 29, 1994 |
| August 2, 1994 | Call from Mr. R. Hedin of the FDA to schedule a meeting on September 12, 1994 |
| August 8, 1994 | Called Mr. R. Hedin of the FDA requesting that Dr. D. Wu of the FDA also be present at the meeting on September 12, 1994 |
| August 8, 1994 | Submitted an agenda for the September 12, 1994 meeting at the FDA, to Mr. R. Hedin |
| August 8, 1994 | Call from Dr. G. Trendel of the FDA confirming September 12, 1994 meeting at the FDA |
| August 9, 1994 | Call from Mr. R. Hedin of the FDA indicating that Dr. D. Wu of the FDA would be present at the meeting on September 12, 1994 |
| September 12, 1994 | Met with the FDA regarding re-filing of NDA No. 19-722 |
| September 12, 1994 | Submitted updated stability data to Dr. D. Wu of the FDA |
| September 13, 1994 | Letter to Dr. M. Thomas regarding issues raised at the September 12, 1994 meeting at the FDA |
| September 14, 1994 | Submitted a draft protocol of the proposed clinical study to Dr. M. Thomas of the FDA |
| September 16, 1994 | Submitted a draft protocol of the proposed clinical study to Dr. G. Trendel and Mr. J. Hunt both of the FDA |
| September 23, 1994 | Called Dr. G. Trendel of the FDA inquiring the status of review of the clinical study protocol |

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| October 3, 1994 | Received minutes of September 12, 1994 meeting held at the FDA, from Dr. C. Spires |
| October 3, 1994 | Call from Dr. C. Spires of the FDA indicating that Nastech's cGMP status was unacceptable as per the FDA inspection of January 1994 |
| October 4, 1994 | Called Dr. C. Spires of the FDA regarding her call of October 3, 1994 |
| October 4, 1994 | Called Ms. L. Avieta of the FDA regarding cGMP compliance status of Nastech |
| October 7, 1994 | Called Dr. D. Wu of the FDA regarding stability protocol for a new container closure system |
| October 11, 1994 | Called Mr. J. Sollazo of the FDA regarding cGMP compliance status of Nastech |
| October 12, 1994 | Called Dr. C. Spires of the FDA regarding cGMP compliance status of Nastech |
| October 12, 1994 | Called Dr. M. Thomas of the FDA regarding review of clinical protocol submitted on September 14, 1994 |
| October 20, 1994 | Letter to Dr. C. Spires of the FDA requesting her to expedite the cGMP compliance issue |
| October 21, 1994 | Call from Dr. C. Spires of the FDA suggesting that Nastech call Mr. D. Dolesky of the FDA regarding the cGMP compliance issue |
| October 21, 1994 | Called Mr. D. Dolesky of the FDA regarding the cGMP compliance issue, and was informed that Nastech call Mr. T. Platz of the FDA about this issue |
| October 21, 1994 | Called Dr. M. Thomas of the FDA regarding review of clinical protocol submitted on September 14, 1994 |
| October 25, 1994 | Called Mr. T. Platz of the FDA and learned why Nastech was deemed not to be in cGMP compliance |
| October 25, 1994 | Submitted a copy of response to "483" to Mr. T. Platz of the FDA in response to a telephone conversation with him on October 25, 1994 |

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| October 28, 1994 | Letter to Dr. M. Thomas of the FDA inquiring the status of review of clinical protocol submitted on September 14, 1994 |
| November 1, 1994 | Called Dr. M. Thomas of the FDA regarding clinical protocol and learned that the review would be completed by November 7, 1994 |
| November 2, 1994 | Called Dr. C. Spires of the FDA and expressed Nastech's concerns on the time it had taken on part of the FDA to review the clinical protocol submitted on September 14, 1994 |
| November 8, 1994 | Received minutes of September 12, 1994 meeting held at the FDA, from Dr. C. Spires of the FDA |
| November 9, 1994 | Call from Dr. C. Spires of the FDA providing changes in the clinical protocol submitted on September 14, 1994 |
| December 1, 1994 | Submitted corrective actions taken on "483" items, to Mr. T. Platz of the FDA |
| December 13, 1994 | Submitted a preliminary validation package of metered dose nasal gel actuator, to Dr. M. Thomas, Dr. G. Trendel, Dr. D. Wu, Dr. S. Sobel and Mr. J. Hunt, all of the FDA |
| December 20, 1994 | Call from Dr. C. Spires of the FDA confirming the receipt of submission of December 13, 1994 |
| January 6, 1995 | Letter from E. T. Warner of the FDA confirming that the corrective actions taken by Nastech and communicated to the FDA on December 1, 1994, were adequate |
| January 17, 1995 | Submitted support information on the upcoming clinical studies to Dr. S. Sobel of the FDA |
| January 20, 1995 | Nastech signed a contract with NMRC to conduct the proposed clinical study in PA patients |
| February 21, 1995 | Letter to Dr. W. Wecksler of SKB regarding the analytical method to assay vitamin B12 in human blood |
| March 8, 1995 | File memo from Dr. W. E. Gannon of Nastech on the status of on-going clinical study |

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| April 5, 1995 | Letter to Dr. S. Sobel of the FDA providing support information on the on-going clinical study |
| April 13, 1995 | Received status report from NMRC Site for the on-going clinical study |
| April 20, 1995 | Received IRB approval for the GFI Clinical Site |
| April 20, 1995 | Report on the visit of NMRC by Dr. W. E. Gannon |
| May 10, 1995 | Letter to Dr. B. Levy of NMRC regarding additional clinical sites |
| May 30, 1995 | Letter to Dr. S. Sobel of the FDA providing support documentation on the on-going clinical studies |
| June 1, 1995 | Letter from Ms. D. J. Racine of GFI Site supplying additional IRB information |
| June 6, 1995 | Received status report on clinical studies from NMRC Site |
| June 6, 1995 | Received report on the visit of NMRC Site by Dr. W. E. Gannon |
| June 28, 1995 | Forwarded an update on clinical supplies inventory to NMRC |
| July 15, 1995 | Received patient status report from GFI Site |
| July 21, 1995 | Received report on the visit of GFI Site by Dr. W. E. Gannon |
| August 9, 1995 | Received status report on dosing of patients from GFI Site |
| August 23, 1995 | Received a fax message from Ms. M. C. English of SKB regarding vitamin B12 assay in human blood |
| September 7, 1995 | Received report on close-out visit of GFI Site by dr. W. E. Gannon |
| September 14, 1995 | Letter from J. H. Keach of NMRC Site regarding case report form corrections |
| October 13, 1995 | Letter from Ms. D. J. Racine of GFI Site regarding the final report of the clinical study |
| October 16, 1995 | Fax message to Mr. J. Keach of NMRC Site regarding patient case report forms |

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| November 21, 1995 | File memo on the re-assays of serum samples done at SKB, by Mr. J. C. deMereles and Dr. W. E. Gannon |
| November 29, 1995 | Letter from Ms. M. C. English of SKB regarding vitamin B12 assays in human serum |
| December 15, 1995 | File memo from Mr. J. C. deMeireles on the validation report of vitamin B12 assay in human serum |
| December 21, 1995 | Fax message from M. C. Whelden of NMRC Site regarding patient blood draws |
| January 1996 | Planned and manufactured three validation batches of vitamin B12 nasal gel |
| February 1995 | Sent the bulk gel batches to C P Packaging Co. in NJ for filling, labelling and packaging |
| February 1996 | Placed the three validation batches in final package form on full and formal stability |
| March 19, 1996 | Finalized the validation report of metered dose nasal gel actuator |
| April 10, 1996 | Called Ms. J. Weber of the FDA inquiring whom should Natestech submit the clinical amendment |
| April 11, 1996 | Submitted Amendment 13 to NDA No. 19-722, to Dr. S. Sobel of the FDA. It contained clinical information |
| May 8, 1996 | Submitted Amendment 14 to NDA No. 19-722, to Dr. S. Sobel of the FDA. It contained CMC information |
| June 6, 1996 | Call from Dr. D. Wu asking for additional information on the container closure system |
| June 7, 1996 | Called Dr. D. Wu and provided information requested by him on June 6, 1996 |
| June 24, 1996 | Call from Dr. M. Fossler of the FDA regarding data in Amendment 13 to NDA No. 19-722 |

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| June 24, 1996 | Submitted Addendum to Amendment 14 to NDA No. 19-722, to Dr. D. Wu of the FDA. It contained additional stability data |
| June 24, 1996 | Call from Dr. D. Wu of the FDA regarding Amendment 14 to NDA No. 19-722 |
| June 26, 1996 | Submitted computer disk containing some data from Amendment 13 to NDA No. 19-722, to Dr. M. Fossler of the FDA |
| June 27, 1996 | Submitted clarification of some CMC information to the FDA |
| July 24, 1996 | Call from Dr. T. Sze regarding site inspection of Roussel, the manufacturer of vitamin B12 |
| August 9, 1996 | Call from Dr. M. Fossler of the FDA regarding clinical data |
| August, 1996 | FDA made site visits of C P Packaging Co., Loricon Labs, and other contract labs/cos. |
| Sept 5,6,11,16,17, '96 | Pre-approval inspection by the FDA |
| October, 1996 | FDA made a site visit of clinical site and SKB Labs |
| October 3, 1996 | Received "483" from the FDA |
| October 7, 1996 | Call from Dr. D. Wu of the FDA regarding container closure system |
| October 10, 1996 | Call from Mr. S. McCort of the FDA regarding the package insert |
| October 11, 1996 | Submitted package insert information on a computer disk to the FDA |
| October 16, 1996 | Responded to "483" |
| October 17, 1996 | Submitted information on closure container system to Dr. D. Wu |
| October 29, 1996 | Letter from Mr. F. Mattiasich of the FDA acknowledging 483 response |
| October 31, 1996 | Teleconference with FDA personnel about analytical method issues |
| November 1, 1996 | Received package insert changes from Mr. S. McCort of the FDA |
| November 5, 1996 | Resolved all CMC issues with Dr. D. Wu of he FDA |

NOVEMBER 5, 1996

RECEIVED APPROVAL TO MARKET NASCOBAL
(CYANOCOBALAMIN NASAL GEL) FROM THE FDA

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: Jeffrey Wenig

Docket: 719-69

Patent No.: 4,724,231

Issued: February 9, 1988

Serial No.: 06/848,690

Filed: April 8, 1986 **RECEIVED**

For: NASAL COMPOSITIONS
CONTAINING VITAMIN B₁₂

JAN - 3 1997

Box Patent Ext.
Assistant Commissioner for Patents
Washington, D.C. 20231

**PATENT EXTENSION
A/C PATENTS**

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

Sir:

Nastech Pharmaceutical Company Inc., of 45 Davids Drive, Hauppauge, New York 11788, as assignee of record of U.S. Patent No.: 4,724,231, hereby appoints the following attorneys:

Charles R. Hoffmann, Reg. No. 24,102; Ronald J. Baron, Reg. No. 29,281; Gerald T. Bodner, Reg. No. 30,449; Alan M. Sack, Reg. No. 31,374; A. Thomas Kammer, Reg. No. 28,226; Arlene D. Morris, Reg. No. 32,657; R. Glenn Schroeder, Reg. No. 34,720; Glenn T. Henneberger, Reg. No. 36,074; Livia Boyadjian, Reg. No. 34,781; Sean W. O'Dea, Reg. No. 37,690; Lindsay S. Adams, Reg. No. 36,425; Paul J. Otterstedt, Reg. No. 37,411; Irving N. Feit, Reg. No. 28,601; William E. Lewis, Reg. No. 39,274; and Paul D. Ackerman, Reg. No. 39,891, each of them of HOFFMANN & BARON, 350 Jericho Turnpike, Jericho, New York 11753; and Daniel A. Scola, Jr., Reg. No. 29,855; Salvatore J. Abbruzzese, Reg. No. 30,152; Kirk M. Miles, Reg. No. 37,891; Kevin C. Hooper, Reg. No. P-40,402; and Robert F. Chisolm, Reg. No. 39,939, each of them of HOFFMANN & BARON, 1055 Parsippany Boulevard, Parsippany, New Jersey 07054,

to apply for an extension of the term of said patent, to make alterations and amendments therein, and transact all business in the U.S. Patent and Trademark Office connected therewith, and request that all further correspondence be conducted with Hoffmann & Baron as indicated below.

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

**Gerald T. Bodner, Esq.
Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753**

Lindsay S. Adams, Esq.

Nastech Pharmaceutical Company, Inc.
(type or print identity of assignee of entire interest)

45 David Drive
Address

Hempstead, New York 11783

[X] Recorded in PTO on April 8, 1986
Reel 4567
Frame 732

[] Recorded herewith

ASSIGNEE CERTIFICATION UNDER 37 CFR 3.73(b)

I, the undersigned, have reviewed all the documents in the chain of title of the patent matter identified above and, to the best of my knowledge and belief, title is in the assignee identified above.

I, hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the patent.

Date

1/2/97
3
N


(Signature)

Vincent D. Romeo R. Ph., Ph.D.
(type or print name of person authorized to
sign on behalf of assignee)

President and CEO
Title

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JAN 3 1997
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXTENSION
OFFICE

In re Patent of: Jeffrey Wenig

Docket: 719-69

Patent No.: 4,724,231

Issued: February 9, 1988

Serial No.: 06/848,690

Filed: April 8, 1986

For: NASAL COMPOSITIONS
CONTAINING VITAMIN B₁₂

Date: January 3, 1997

EXPRESS MAIL CERTIFICATE

Date 1-3-97 Label No. EM290462959US
I hereby certify that on the date indicated above, I
deposited this paper or fee with the U.S. Postal Service &
that it was addressed for delivery to the Assistant
Commissioner for Patents, Washington, D.C. 20231
by "EXPRESS MAIL Post Office to Addressee" service.
Kim Beaulieu Kim Beaulieu
Name (Print) Signature

Box Patent Ext.
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION OF DUPLICATE COPY

Sir:

I, the undersigned, hereby certify that attached herewith is a true and accurate
copy of the application for term extension under 35 U.S.C. §156 for U.S. Patent No.:
4,724,231 being filed herewith.

Respectfully submitted,



Lindsay S. Adams
Attorney for Applicant
Registration No.: 36,425

Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753
(516) 822-3550
LSA/kb

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JAN 16 1997

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXTENSION
A/C PATENTS

In re Patent of: Jeffrey Wenig

Docket: 719-69

Patent No.: 4,724,231

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Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Date 1-3-97 Label No. FM290462959US
I hereby certify that on the date indicated above, I
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that it was addressed for delivery to the Assistant
Commissioner for Patents, Washington, D.C. 20231
by "EXPRESS MAIL Post Office to Addressee" service.
Kim Beaulieu Kim Beaulieu
Name (Print) Signature

LETTER OF TRANSMITTAL OF APPLICATION FOR
EXTENSION OF PATENT TERM

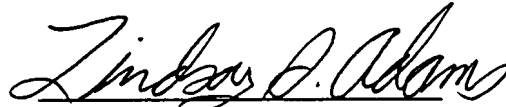
Sir:

Transmitted herewith is an application for extension of the patent term under 35 U.S.C. §156 for U.S. Patent No.: 4,724,231. The application includes Exhibits A-C, a Declaration pursuant to 37 C.F.R. §1.740(b), a Power of Attorney executed by the Applicant, and a duplicate of the application papers, certified as such.

The filing fee of \$1090.00 in accordance with 37 C.F.R. § 1.20(j) is also enclosed herewith. The Commissioner is hereby authorized to charge any additional fees, or credit

any overpayment, to Deposit Account No.: 08-2461. Two additional copies of this sheet are enclosed.

Respectfully submitted,

A handwritten signature in cursive script, reading "Lindsay S. Adams". The signature is written in dark ink and is positioned above the printed name and title.

Lindsay S. Adams
Attorney for Applicant
Registration No.: 36,425

Hoffmann & Baron
350 Jericho Turnpike
Jericho, New York 11753
(516) 822-3550

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Patent of: Jeffrey Wenig

Docket: 719-69

Patent No.: 4,724,231

Issued: February 9, 1988

Serial No.: 06/848,690

Filed: April 8, 1986

JAN - 3 1997
PATENT EXTENSION
A/C PATENTS

For: NASAL COMPOSITIONS
CONTAINING VITAMIN B₁₂

Box Patent Ext.
Assistant Commissioner for Patents
Washington, D.C. 20231

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Date 1-3-97 Label No. Em29046295905
I hereby certify that on the date indicated above, I
deposited this paper or fee with the U.S. Postal Service &
that it was addressed for delivery to the Assistant
Commissioner for Patents, Washington, D.C. 20231
by "EXPRESS MAIL Post Office to Addressee" service.
Kim Beaulieu Kim Beaulieu
Name (Print) Signature

DECLARATION PURSUANT TO 37 C.F.R. §1.740(b) FOR
APPLICATION FOR PATENT EXTENSION UNDER 35 U.S.C. §156

Sir:

The undersigned, an Attorney registered to practice before the U.S. Patent and Trademark Office and having the general authority from the owners of U.S. Patent No. 4,724,231 to act on behalf of the owners of said patent (i.e., the Applicant), as indicated in the Power of Attorney being submitted herewith, hereby states:

1. I have reviewed and understand the contents of the application for patent extension of U.S. Patent No. 4,724,231 being submitted herewith pursuant to 35 U.S.C. §156;
2. I believe U.S. Patent No. 4,724,231 is subject to an extension pursuant to 37 C.F.R. §1.710 and believe that the term of extension claimed in the

application filed contemporaneously herewith is justified under 35 U.S.C. §156 and under the applicable regulations; and

3. I believe that U.S. Patent No. 4,724,231 for which an extension is being sought meets all the conditions for an extension of the term of said patent, as set forth in 37 C.F.R. §1.720.

I further state that the above statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any willful false statements may jeopardize the validity of this patent.

Date:

1/3/97

Respectfully submitted,

Lindsay S. Adams

Lindsay S. Adams

Registration No.: 36,425

Attorney for Applicant

HOFFMANN & BARON
350 Jericho Turnpike
Jericho, New York 11753
(516) 822-3550
LSA/kb